

Attorney Docket No.: CASE-03330

## DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled ALLELIC SERIES OF GENOMIC MODIFICATIONS IN CELLS, the specification of which is attached hereto. I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

Tacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

Thereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor: Richard P. Woychik	
nventor's Signature:	Date:
inventor's Signature:	
Residence: 24642 Maidstone Lane, Beachwood, Ohio 44122	Citizenship: <u>United States of America</u>
Post Office Address: 24642 Maidstone Lane, Beachwood, Ohio 44122	
Full Name of Second Joint Inventor: Terry R. Magnuson	
Inventor's Signature:	Date:
Residence: 3061 N. Park Blvd., Cleveland Heights, Ohio 44118	Citizenship: United States of America
Post Office Address: 3061 N. Park Blvd., Cleveland Heights, Ohio 44118	
Full Name of Third Joint Inventor: Ellis D. Avner	
Inventor's Signature:	Date:
Residence: 25485 Penshurst Drive, Beachwood, Ohio 44122	Citizenship: United States of America
Post Office Address: 25485 Penshurst Drive, Beachwood, Ohio 44122	•
1 USL OTHEC Address. 25405 I chisharst Dilve, Deachwood, Onto 44122	

PATENT Attorney Docket No.: CASE-03330

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Serial No.: Filed:	Richard P. Woychik et al.	Group No.: Examiner:	
Entitled:	ALLELIC SERIES OF GENOMIC MODIFICATIONS IN CELLS		
	POWER OF A	TTORNEY BY ASSIGNEE	
Assistant Commissione Washington, D.C. 2023			
CASE WEST	ERN RESERVE UNIVERSITY,	, as Assignee of record of the entire interest of the above identified pater	
application, hereby app	oints the members of the firm o	of MEDLEN & CARROLL, LLP, a firm composed of:	
Peter Kamr	nia S. Medlen (Reg. No. 3) G. Carroll (Reg. No. 3) in T. MacKnight (Reg. No. 3) I A. Casimir (Reg. No. P	32,837) 38,230)	
		te this application and transact all business in the Patent and Trademark Offic	
Please direct a	Ill future correspondence and tele	ephone calls regarding this application to:	
MEDI 220 N	G. Carroll LEN & CARROLL, LLP Montgomery Street, Suite 2200 Francisco, California 94104	Telephone: 415/705-8410 Facsimile: 415/397-8338	
I hereby certi	fy that the Assignment docume	ent filed with the application or filed subsequent to the filing date of th	
application, has been r	eviewed and I hereby certify that	at, to the best of my knowledge and belief, title is with CASE WESTERN	
RESERVE UNIVERSI	TY.		
Dated:		By:	
		Name:	
		Title:	

CASE WESTERN RESERVE UNIVERSITY

Cleveland, Ohio 44106-4943

10900 Euclid AvenueCleveland, Ohio 44106-4943

Express Mail Label No.: EM 589 821 US



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## **ASSIGNMENT**

WHEREAS, WE, Richard P. Woychik, Terry R. Magnuson and Ellis D. Avner, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

ALLELIC SERIES OF GENOMIC MODIFICATIONS IN CELLS

Filing Date:

Serial No.:

WHEREAS, CASE WESTERN RESERVE UNIVERSITY, a Ohio corporation, 10900 Euclid AvenueCleveland, Ohio 44106-4943, Cleveland, Ohio 44106-4943, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNOR by ASSIGNEE and other good and valuable consideration, receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNOR further agrees that ASSIGNOR will, without charge to said ASSIGNEE, but at ASSIGNEE's expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications; execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof; and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE's successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's names to this assignment on the date indicated

below.	
Richard P. Woychik	Terry R. Magnuson
Ellis D. Avner	· · · · · · · · · · · · · · · · · · ·
STATE OF	
On this day of  public, personally appeared the above-named ASSIGNOR,	, in the year of, before me, the undersigned notary known to me (or proved to me on the basis of satisfactory evidence) to be
the person whose name is subscribed to the within instrum	nent, and acknowledged that he/she executed the same.
	NOTARY PUBLIC

Applicant / Patentee: Richard P. Woychik et al.

For:

ALLELIC SERIES OF GENOMIC MODIFICATIONS IN CELLS

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR §§ 1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION)

I hereby declare that I am an official empowered to act on behalf of the university (or other institution of higher education) identified below:

CASE WESTERN RESERVE UNIVERSITY 10900 Euclid Avenue Cleveland, Ohio 44106-4943

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR § 1.9(e) for purposes of paying reduced fees under §§ 41(a) and (b) of Title 35, United States Code with regard to the invention entitled ALLELIC SERIES OF GENOMIC MODIFICATIONS IN CELLS, by inventors named Richard P. Woychik et al., described in the specification filed herewith.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Date:	By:
	Name:
	Title:
	CASE WESTERN RESERVE UNIVERSITY
	10900 Euclid Avenue
	Cleveland, Ohio 44106-4943